

California Environmental Protection Agency

ENVIRONMENTAL JUSTICE SMALL GRANTS

APPLICATION & INSTRUCTIONS FY 2005/2006

CAL/EPA ENVIRONMENTAL JUSTICE SMALL GRANTS FY 2005/2006 APPLICATION & INSTRUCTIONS

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Grant Application Submittal Checklist

For All Grant Applicants:
 □ Grant Application Coversheet (Exhibit A) □ Project Narrative (Background, Project Need, Work Plan description, Budget Description, Significance & Applicability) □ Work Plan (Exhibit B) □ Budget (Exhibit C) □ Supporting Documentation – Three (3) Resumes of Key Staff Involved in Project □ Letters of Support (if applicable) □ 3 copies (1 original, 2 copies) of entire application
 Copies: Identify the original application with a mark or stamp indicating "original" and mark or stamp the copies, "copy." Copies must be free of distortion and easy to read. Font: 12 points or larger font. Paper: 8 ½" x 11" 100% recycled-content paper, double sided and numbered consecutively. Stapled, not bound: upper left-hand corner.
For Community Organization Applicants Only:
☐ Verification of federal Internal Revenue Code 501(c)(3) status or evidence of state of California recognition of being a non-profit entity.
For Tribal Government Applicants Only:
 □ Verification of federal recognition of Tribal status. □ Approved Resolution/Letter of Authorization from your Tribal governing body (Exhibit F)
Cal/EPA EJ Small Grants Website:
http://www.calepa.ca.gov/EnvJustice/Funding/SmallGrants.htm

CAL/EPA ENVIRONMENTAL JUSTICE SMALL GRANTS APPLICATION AND INSTRUCTIONS

A. EJ Small Grants Program (www.calepa.ca.gov/EnvJustice/Funding/SmallGrants.htm)

AB 2312 Chu (Statutes of 2002, Chapter 994) established the Environmental Justice (EJ) Small Grants Program at the California Environmental Protection Agency (Cal/EPA) in the Office of the Secretary. The Cal/EPA Secretary, as authorized by California Code of Regulations Title 27, Division 1, Chapter 3, Article 1, has designated the Deputy Secretary for Science and Environmental Justice to administer the EJ Small Grants to assist eligible community-based, grassroots, non-profit organizations, and federally recognized tribal governments to address environmental justice issues. Eligibility of non-profit entities and federally recognized tribal governments are defined in the Public Resources Code (PRC) section 71116. As part of the fiscal year 2005/06 Budget Act, Cal/EPA is expected to fund projects with a maximum award of \$20,000 per project.

B. Program Goals

The Cal/EPA EJ Small Grants Program is designed to provide financial assistance to eligible non-profit community groups and federally recognized tribal governments that are working on or plan to carry out projects to address EJ issues in areas adversely affected by environmental pollutions and hazards. The Cal/EPA EJ Small Grants Program will fund projects that address the following goals for reducing environmental impacts and are intended to foster common and desirable attributes statewide. Grant applicants must demonstrate in their applications how their projects will reflect one or more of the following EJ Small Grant Program goals:

1. Enhance meaningful public participation.

Meaningful public participation is critical to the success of any effort to address and find solutions to environmental justice issues. It allows communities and affected stakeholders to effectively participate in environmental decision-making processes.

2. Promote community capacity building.

Community capacity building involves improving the communities' technical and procedural understanding as well as facilitating their participation in environmental decision-making processes.

3. Collaborate with academia and/or other governmental agencies to document data related to exposures and health complaints.
Collaboration amongst academia and/or other governmental agencies with affected EJ stakeholders in documenting exposures and health will encourage better understanding of environmental issues. This will improve relations between stakeholders and result in improved and informed decisions.

C. Funding Available

As part of the fiscal year 2005/2006 Budget Act, two hundred fifty thousand dollars (\$250,000) in grant funds are available for this grant cycle. Cal/EPA is expected to fund projects with the maximum grant amounts not to exceed \$20,000 per project. The grant term is from approximately November 2005 through November 2006.

D. Eligibility Criteria

To be eligible for an EJ small grant, the applicant must be one of the following:

- 1. A non-profit entity. A "non-profit entity" is defined as any corporation, trust, association, cooperative, or other organization that meets all of the following criteria:
 - a) Operates primarily for scientific, educational, service, charitable, or other similar purposes in the public interest;
 - b) Not organized primarily for profit;
 - c) Uses its net proceed to maintain, improve, or expand, or any combination thereof, its operations; and
 - d) Is a tax-exempt organization under federal Internal Revenue Code section 501(c)(3), or is able to provide evidence that the state of California recognizes the organizations as a non-profit entity.
- 2. A federally recognized tribal government.

E. Not Eligible for Funding

- Individuals
- Entities or organizations that are tax exempt under federal Internal Revenue Code section 501(c)(4).

F. Grant Timeline

Date	Activity
July 14, 2005	FY 2005/2006 Grant applications available
October 14, 2005	Grant Applications due deadline
Late October 2005	Grant Awards announced
November 1, 2005 – November 30, 2006	Grant Term – Grantees work on projects during this time (grant expenses may not be incurred until the grantee receives the Notice to Proceed)
April 12, 2006	Progress Report 1 Due
August 9, 2006	Progress Report 2 Due
November 30, 2006	Grantees complete work on projects
March 1, 2007	Final Reports due

G. Application Requirements

- All applicants must submit a completed and signed original EJ Small Grants
 application coversheet (Exhibit A) and two copies including all required attachments (Exhibits
 D and E).
- 2. <u>Eligible non-profit community organization applicants only</u>: In addition to the application, you must submit verification of federal Internal Revenue Code section 501(c)(3) status or evidence of state of California recognition as a non-profit entity with the application. Failure to do so will result in ineligibility of award consideration.
- 3. Federally recognized Tribal government applicants only: In addition to the application, you must submit an approved resolution or letter of authorization from your governing Tribal body. The approved resolution for a Tribal government must be submitted, preferably with the grant application package on October 14, 2005, but no later than November 18, 2005. Select the designated signature authority carefully because only the person in the designated position will be able to sign all the grant documents to secure funds and implement the grant project (e.g. application, the Grant Agreement Form and Payment Request Form). If a "designee" is authorized in the resolution, be sure to also include a letter signed by the signature authority indicating the title of the designee.

You must submit one of the following:

- An approved resolution from the applicant's governing body which authorizes submittal of an application for the Cal/EPA EJ Small Grants FY2005/06 and identifies the <u>title</u> of the individual authorized to execute any agreements, contracts, and requests for payment;
- An approved resolution from the applicant's governing body which authorizes the submittal
 of grant applications to the Cal/EPA for all available environmental justice grants under the
 California Code of Regulations Title 27, Division 1, Chapter 3, Article 1, and identifies the
 title of the individual authorized to execute any agreements, contracts, and requests for
 payment.

H. Application Deadline

Applications must be mailed or hand-delivered to the Cal/EPA's Headquarters Building located at 1001 I Street, Sacramento, 95820, and received in the Cal/EPA Environmental Justice Small Grants Program office by 3:00 pm, Friday, October 14, 2005. Applications submitted after the final submission date will not be accepted.

I. Examples of Project Types

Proposed projects should have a clear focus on community specific environmental issues that address the interests of Tribal and EJ communities. Examples of projects that would meet one or more of the three Program goals are listed below:

- Identifying and addressing environmental justice related problems for a community;
- Identifying and assisting EJ stakeholders to participate in the decision-making processes;

- Collaborating with academia and/or governmental entities to collect data in communities affected by environmental justice issues;
- Providing technical tools, information, or protocols for the target audience to better understand the environmental pollution and hazard issues;
- Enhancing community understanding about environmental issues which affect a particular community;
- Improving communication and coordination among EJ stakeholders and Cal/EPA entities to address exposures to environmental pollution and hazards.
- Providing bilingual services for hearings, workshops and outreach in non-English speaking communities;
- Promoting Tribal Government involvement in addressing environmental justice related issues and providing solutions to address them.

J. Eligible and Ineligible Costs

Eligible costs for funding include the following:

- a) Distribution of information to help resolve environmental problems;
- b) Identification of improvements in communication and coordination between stakeholders and Cal/EPA and its Boards, Departments, and Office (BDOs) in order to address the most significant exposure to pollution;
- c) Improvement of community/tribal government understanding about environmental issues that affect its community/tribal government;
- d) Promotion of community/tribal government involvement in the decision-making process that affects the environment of the community/tribal government; and
- e) Enhancement of community/tribal government understanding of environmental information systems and environmental information.
- f) Other costs deemed eligible by Cal/EPA.

Ineligible costs for funding include the following, but not limited to:

- a) Lobbying or advocacy activities relating to any federal, state, regional, or local legislative, quasi-legislative, adjudicatory, or quasi-judicial proceeding involving development or adoption of statutes, guidelines, rules, regulations, plans or any other governmental proposal, or involving decisions concerning siting, permitting, licensing, or any other governmental action.
- b) Litigation, administrative challenges, enforcement action, or any type of adjudicatory proceeding.
- c) Funding of a lawsuit against any governmental entity.
- d) Funding of a lawsuit against a business or a project owned by a business.
- e) Matching state or federal funding.
- f) Other state grant programs.
- g) Performance of any technical assessment for purposes of opposing or contradicting a technical assessment prepared by a public agency.
- h) Other work deemed ineligible by Cal/EPA.

All expenditures must be only activities, products, and services included in the approved Work Plan (Exhibit B) and approved Budget (Exhibit C). All expenses (including services and goods expenses) must not be incurred before receiving the Notice to Proceed (see section K).

<u>Important:</u> Any proposed revision to the Work Plan and/or the Budget must be submitted, and pre-approved <u>in writing</u> by your Grant Manager, prior to Grantee incurring the proposed expenditures. The approval for revision document should be retained by the Grantee for audit purposes.

K. Application Review, Grant Agreement, Notice to Proceed

- 1. <u>Application Review</u> Cal/EPA EJ Small Grant Program staff will review applications for adequacy and eligibility. Upon determination that an applicant is eligible, the staff will review, evaluate, and rank the project proposals. The Secretary will make the final selection of projects to fund in consideration of staff's recommendations. The Secretary reserves the right to not award any or only a portion of the Cal/EPA EJ Small Grant, subject to funding availability. All applicants will be advised by a US mailed letter of the Secretary's decision.
- 2. **Grant Agreement** Following the Secretary's approval of the Grant, the successful applicants will be mailed a Grant Agreement Form, Final *Procedures and Requirements*, and Final *Terms and Conditions*. These documents, along with the applicant's approved Budget and Work Plan, comprise the Grant Agreement.

The signatory designated by the Applicant's Coversheet (for eligible non-profit applicants only) or Approved Resolution (for Tribal governments only) will be required to sign the Grant Agreement Form and return it to the Cal/EPA EJ Small Grants Program staff <u>within ninety</u> (90) days from the date of mailing by the Cal/EPA. <u>Important:</u> If a proposed project cannot be executed within 90 days of grant award attributed to the applicant, then the applicant will be disqualified and the grant <u>may not be funded</u>.

3. **Notice to Proceed** - Once the applicant's signed resolution is received and then executed by the Cal/EPA EJ Small Grants program staff, a Notice to Proceed letter will be mailed to the grantee as notification for approval to proceed with project implementation and expenditures.

L. Grant Program Contacts

For clarification on this year's Cal/EPA EJ Small Grants solicitation, please contact:

Ms. Malinda Dumisani Mr. Steve Hui
E-mail: mdumisani@calepa.ca.gov
Phone: (916) 445-9480 Phone: (916) 324-5826

California Environmental Protection Agency (Cal/EPA)
Office of the Secretary
Environmental Justice Small Grants Program
www.calepa.ca.gov/EnvJustice/Funding/SmallGrants.htm

Physical Address: 1001 I Street, 25th Floor Sacramento, CA 95814 Mailing Address: PO Box 2815 Sacramento, CA 95812

M. Definitions

- 1. "Applicant" means the entity applying for a grant.
- 2. "Application" means the information an applicant must provide Cal/EPA when applying for a grant.
- 3. "BDOs" means Cal/EPA's Boards, Departments, and Office.
- 4. "Cal/EPA" means California Environmental Protection Agency.
- 5. **"Environmental Justice"** means "the fair treatment of people of all races, cultures, and incomes with respect to the development, adoption, implementation, and enforcement of environmental laws, regulations, and policies," as defined by Government Code section 65040.12.
- 6. "**Grant**" means a sum of money to assist an enterprise deemed advantageous to the public.
- 7. "Grant Manager" means the Cal/EPA EJ Grant Program staff person responsible for monitoring the grant.
- 8. "**Grant Administrator**" means the person of the grant project who is responsible to the day-to-day responsibility of the project.
- 9. "**Grantee**" means the eligible organization or tribal government that receives the funding from the grant.
- 10. "Notice to Proceed" means the letter sent by Cal/EPA EJ Small Grants staff to the grantee authorizing the grantee to implement and make expenditures for the approved project.
- 11. "Signature Authority" means the person duly authorized and empowered to execute in the name of the applicant all grant-related documents.
- 12. "**Secretary**" means the Agency Secretary for the California Environmental Protection Agency or his or her designee(s).

N. Proposal Narrative – Scoring Criteria

The narrative of your proposal should include clear and succinct responses to the questions in the following table titled Scoring Criteria. The narrative must clearly and concisely describe and justify each task presented in the proposal. A well-prepared application will address each question briefly, thoroughly, and pay specific attention to each of the points listed in the Scoring Criteria. Your narrative is limited to 15 Pages. The applicant is responsible for supplying sufficient detail for the review panel to fully evaluate the proposal. You must not assume the review panel knows anything about your organization or previous program experience.

Attachments to support the proposal narrative are <u>NOT</u> included in the fifteen (15) page limit, and include:

Cover Sheet (Exhibit A)
Three (3) Resumes of Key Staff Involved in the Project
Letters of Support (if applicable)



TIPS FOR APPLICATION WRITING:

Clearly respond to each question so that your response can stand alone and this makes it easier for the review panel to score your application. Do not worry if you seem to be repeating yourself—try to be clear and concise in your response or refer directly to the page where you may have addressed an issue earlier.

INSTRUCTIONS: The following format must be used to complete this exhibit. <u>All questions in the following template must be answered by the applicant.</u> This must be legibly printed or typed double-sided on 8 ½" x 11", with all pages numbered consecutively. This must be single-spaced, have one-inch margins and minimum 12-point font. Do not include attachments other than those requested.

Applicants will be ranked based upon the scoring criteria listed below. Proposals with the highest scores will be considered for funding first. **Applications that are not complete will not be considered for scoring.**

Minimum Requirements			
	Description		
Pass/Fail	Coversheet – completed and signed		
Pass/Fail	Eligible Non-profit Community Organization Applicants Only: Verification of federal Internal Revenue Code 501(c)(3) status or state of California recognition of being a non-profit entity		
Pass/Fail	Federally Recognized Tribal Governments Only: Verification of federal recognition of Tribal status		
Pass/Fail	Federally Recognized Tribal Governments Only: Approved resolution or letter of authorization authorizing Signature Authority.		
Pass/Fail	Three (3) hard copies (1 original, 2 copies) of entire application		



State of California California Environmental Protection Agency

CAL/EPA ENVIRONMENTAL JUSTICE SMALL GRANTS FY 2005/2006 APPLICATION COVERSHEET

Part 1. Applicant Information			
Applicant (Organization/Tribal Government) name:		Date:	
Project Title:		Grant Funds Requested:	
Applicant address (Street, City, State, Zipcode):	1		
Applicant mailing address (Street, City, State, Zipcode):			
☐ Non-profit federal Internal Revenue Code section 501(c)(3) status	☐ Federally Recognized Triba	ll Government	
Grant Administrator			
Grant Administrator - Name of Lead Person who will be Implementing Grant (Firstname, Lastname):	Title of Grant Administrator:		
Telephone number of Grant Administrator:	Email Address of Grant Admir	nistrator:	
()			
Signature Authority			
Signature Authority – Print Name of Person who will be Signature Authority of Grant (First name Last name)			
Telephone number of Signature Authority:	Email Address of Signature A	uthority:	
()			
Part 2. Project Information			
How will the funds from the grant be used? Chec	k all that apply:		
Resolve environmental problems through distribution	of information;	☐ Yes ☐ No	
Identify improvements in communication and coordination among stakeholders and Cal/EPA and its Boards, Departments, and Office (BDOs) in order to address the most significant exposure to pollution;			
Expand community/tribal government understanding about the environmental issues that affect its community/tribal government;			
Promote community/tribal government involvement in the decision-making process that affects the community/tribal government environment; and			
Enhance community/tribal government understanding systems and environmental information.	n □ Yes □ No		



State of California California Environmental Protection Agency

CAL/EPA ENVIRONMENTAL JUSTICE SMALL GRANTS FY 2005/2006 APPLICATION COVERSHEET

Part 2 Continued - Project Information Description Other (specify)		
Droinet Commons	-	
Project Summary		
Why and how will environmental justice issues be addressed a brief and concise paragraph – at least 4-5 complete sentences if need more space attach a separate page)	I, and who will the project affect? (Write or no more than a half-page single spaced,	
Part 3. Application Agreement and Authorized Signatur	е	
I certify that all information in this application is true and complete to the best of my knowledge and belief. I certify that I am signing on behalf of the applicant in the capacity as a signature authority indicated next to my name below and that I am authorized to execute this application on behalf of the applicant.		
Signature Authority signature:	Title:	
Print name of Signature Authority:	Date:	

Scoring Criteria Description		
A. B	ackground	Points
 Provide a brief summary of the applicant's organization or Tribal body, including how long the organization has been in existence. Describe how the organization has been successful in the past with similar activities of the proposed project. 		5
B. Pı	roject Need	Points
		25



TIPS FOR PROPOSED ACTIVITIES:

Be realistic about what can be accomplished within the term of the grant. If a project proposal is too optimistic (e.g., proposing to do too much within the proposed time frame), the funds will not cover the cost of the project and would be deemed unrealistic and ranked low accordingly.

Scoring Criteria Continued

C. Work Plan (refer to Exhibit B below for a sample of the Work Plan Form the application must follow for responding to this section)	Points
Provide project activity plans/time frames, and expected results. The activities must address programs goals and must flow directly from EJ is identified. This section should also specify evaluation steps detailed in the followalton criteria.	

EXHIBIT B. SAMPLE WORKPLAN FORM

INSTRUCTIONS FOR COMPLETING THE WORKPLAN FORM:

The Work Plan Form must list all activities described in the Proposal Narrative that are necessary to complete the proposed project. In the first column, number the major tasks for your project. In the second column, describe the proposed activities to be undertaken in order to accomplish the task. In the third column, state who (by name) will be the lead for that activity. (Be sure to submit resumes for at least 3 key staff listed in the Work Plan Form who will be involved with implementing the project). In the last column, note the beginning and end date for each activity.

SAMPLE WORKPLAN FORM

Task Number	Description of Activity to Accomplish Task	Project Staff	Start & End Dates	
Task 1	Develop effective youth leaders and activists who will help educate the X community about the nature of lead poisoning, the need for testing and home screening, as well as preventative measures and resources for dealing with the problem.	Kenya Tillman (Lead)	3/06-5/06	
Task 1.1	Conduct meeting with organization youth who are interested in educating community	Kerry Toure	3/1/06-3/31/06	
Task 1.2	Train organization of protocol of talking with community residents	Tanya King	4/15/06-4/30/06	
Task 1.3	Conduct meeting with interested community residents and informed youth educators	Tyrone Johnson	5/1/06-5/15/06	
Task 2	Conduct meetings with Tribal leaders regarding environmental justice, and identification of Tribal environmental issues and discussion about potential solutions	Keisha Issaka (Lead)	4/06-10/06	
Task 2.1	Conduct meeting with Tribal Leaders regarding environmental justice issues they have and brainstorm solutions	John Smith	4/1/06-10/15/06	

Scoring Criteria Continued

D. Budget (In addition to answering the following questions; please refer to Exhibit C for sample Budget form the applicant must follow for responding to this section)	Points
 Budget - Provide budget figures/projections to justify the requested award amount. Refer to section J. Eligible and Ineligible Costs to assist you in response to the following questions. Is an itemized cost breakdown associated with each activity, task or subtask included? Have you included all costs associated with all tasks outlined in your narrative and Workplan Form? Are any costs ineligible for grant funding? Are supporting documents numbered and arranged in the same order as listed in the expenditure itemization? Are the costs of the activities/tasks reasonable? 	15



Tips for Developing Your Budget:

Exhibit C is an example of how a budget should be itemized. This may not apply directly to your proposed project, but is included to give you an idea of the level of detail expected.

Round budget line items to the nearest whole dollar. Items less than fifty cents should be rounded down and those at fifty cents or more should be rounded up.

Time spent by a staff performing an activity directly related to the execution of the grant (not supervision), such as speaking at events, drafting program materials, etc., is a direct cost and should be charged to the appropriate activity. Any such activity must be clearly supported by appropriate documentation.

EXHIBIT C. SAMPLE BUDGET

Task Number	Budget Item	Rate	Units	Hours	Cost
Task 1	Task 1 Develop effective youth leaders and activists who will help educate the X community about the nature of lead poisoning, the need for testing and home screening, as well as preventative measures and resources for dealing with the problem.				
Task 1.1	Conduct meeting with organization youth who are interested in educating community Environmental Information Representative (Kerry)	\$10.00/ hour	4	hours	\$40.00
Task 1.2	Train organization of protocol of talking with community residents Environmental Information Trainer (Tanya)	\$25.00/ hour	20	Hours	\$200.00
Task 1.3	Conduct meeting with interested community residents and informed youth educators Meeting room reservation (Tyrone) Environmental materials to handout to interested residents	Room = \$450/day Materials = \$.20/copy @2000 copies			\$450.00 \$400.00
Task 2 Conduct meetings with Tribal leaders regarding environmental justice, and identification of Tribal environmental issues and discussion about potential solutions					
Task 2.1	Conduct meeting with Tribal Leaders regarding environmental justice fundamentals (John Smith)	Room = \$500/day Materials = \$.30/copy @2000 copies			\$500.00 \$600.00
Total Grant Amount Requested:				\$2190.00	

Scoring Criteria Continued

E.	Significance & Applicability	Points
1.	Provide a description of how the project results will be significant to the target audience, including anticipated benefits and challenges to implementing the project, and indicators to measure the success of achieving project goals.	20
2.	Provide an explanation of how the project may serve as a model and applied in other settings.	5
F.	Supporting Documentation	Points
1.	Three (3) Resumes of Key Staff - Include an appendix with resumes of at least three key staff who shall be significantly involved in the project, including the project lead. Letters of Support. If the proposed project includes the significant involvement of other community organizations or Tribal governments, applicants must include	10
	other community organizations or Tribal governments, applicants must include letter(s) of commitment from these organizations/tribal governments.	
	Total Possible Points	100

O. Grant Administration

The requirements for properly administering this grant will be fully set forth in the *Terms and Conditions* (Exhibit D) and the *Procedures and Requirements* (Exhibit E) of your Grant Agreement. As a courtesy, these Exhibits are provided in draft form as part of this application package. It is advisable for both the program and financial staff of your organization or Tribal government and any other organization/Tribal government that may be participating in the project to review these documents <u>BEFORE</u> submitting the grant application. **Please note:**These documents are subject to change without notice prior to the grant award.

- ◆ Exhibit D: Draft Terms and Conditions
- ◆ Exhibit E: Draft Procedures and Requirements

P. Attachments

- Exhibit A Application Coversheet
- Exhibit B Sample Work Statement Form
- Exhibit C Sample Budget Summary & Itemization Form
- Exhibit D Draft Terms and Conditions
- Exhibit E Draft Procedures and Requirements
- Exhibit F Sample Resolution/Letter of Authorization for Tribal Governments